

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Youngren et al.

Group Art Unit: Unassigned

Application No. Unassigned  
(Continuation of U.S. Application No. 10/214,327)

Examiner: Unassigned

Filed: September 9, 2003

For: **STRIKING TOOL WITH WEIGHT FORWARD HEAD**

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is material, relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☒ **within** any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date

of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

- ☐ **after** (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:

☐ the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).

*or*

☐ the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and **within** thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

### Copies of the References

- ☐ Copies of the references listed on the enclosed Form 1449 are enclosed herewith. Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3).
- ☐ A copy of the foreign search report is enclosed herewith.
- ☒ The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:

In re Appln. of Youngren et al.  
 Application No. Unassigned  
 (Continuation of U.S. Application No. 10/214,237)

U.S. APPLICATIONS		Status ( <i>check one</i> )		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1. 10/214,237	August 7, 2002		X	
2.				
3.				

**Statement under 37 CFR 1.97(e)**

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

**Statement under 37 CFR 1.704(d)**

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

**Fees**

- ☒ **No fee** is owed by the applicant(s).
- ☐ The **IDS Fee of \$180** under 37 CFR 1.17(p) is enclosed herewith.

**Method of Payment of Fees**

- ☐ Attached is a check in the amount of \$ .
- ☐ Charge Deposit Account No. 07-0181 in the amount of \$ . (A duplicate copy of this communication is enclosed for that purpose.)

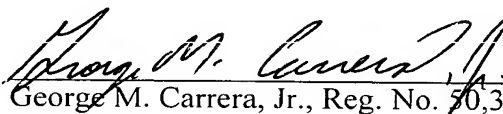
In re Appln. of Youngren et al.  
Application No. Unassigned  
(Continuation of U.S. Application No. 10/214,237)

**Authorization to Charge Additional Fees**

- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 07-0181. (A duplicate copy of this communication is enclosed for that purpose.)

**Instructions as to Overpayment**

- ☒ Credit Account No. 07-0181.  
☐ Refund

  
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Date: September 9, 2003

CH02/22255482.1

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Substitute for form 1449A/B/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

## **Complete if Known**

Application Number	Unassigned
Filing Date	September 9, 2003
First Named Inventor	Robert H. YOUNGREN
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	P1858CON

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
	AA	1,934,706	A	Green	November 14, 1933	
	AB	3,792,725	A	Burgeson	February 19, 1974	
	AC	3,870,091	A	Burgeson	March 11, 1975	
	AD	3,874,433	A	Shepherd, Jr. et al.	April 1, 1975	
	AE	4,030,847	A	Carmien	June 21, 1977	
	AF	4,038,719	A	Bennett	August 2, 1977	
	AG	4,154,273	A	Pollak	May 15, 1979	
	AH	4,363,344	A	Pollak	December 14, 1982	
	AI	4,639,029	A	Kolonia	January 27, 1987	
	AJ	5,123,303	A	Lee et al.	June 23, 1992	
	AK	5,259,274	A	Hreha	November 9, 1993	
	AL	5,425,176	A	Brainerd et al.	June 20, 1995	
	AM	5,588,343	A	Rust et al.	December 31, 1996	
	AN	5,657,674	A	Burnett	August 19, 1997	
	AO	5,768,956	A	Coonrad	June 23, 1998	
	AP	5,860,334	A	Coonrad	January 19, 1999	
	AQ	5,906,144	A	Staviski et al.	May 25, 1999	
	AR	5,988,019	A	Coonrad	November 23, 1999	
	AS	5,996,235	A	Brainerd	December 7, 1999	
	AT	6,016,722	A	Gierer et al.	January 25, 2000	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No**

## **OTHER - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No**
	CA	CHAFFIN et al., Eds., "Hand-Tool Design Guidelines," in: <i>Occupational Biomechanics</i> , Ch. 11, pp. 435-461, 3 <sup>rd</sup> Edition, John Wiley & Sons, Inc. (New York, 1999)		

Examiner Signature

Date Considered

\* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

Please type a plus sign (+) inside this box →



Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	Unassigned
				Filing Date	September 9, 2003
				First Named Inventor	Robert H. YOUNGREN
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	P1858CON

U.S. PATENT DOCUMENTS						
Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
	A U	Des. 426,128	S	Lamond et al.	June 6, 2000	
	A V	6,128,977	A	Gierer et al.	October 10, 2000	
	A W	6,131,488	A	Coonrad	October 17, 2000	
	A X	D436,821	S	Douglas et al.	January 30, 2001	
	A Y	6,202,511	B1	Murray et al.	March 20, 2001	
	A Z	6,250,181	B1	Coonrad	June 26, 2001	
	B A	D449,770 S	B1	Chen	October 30, 2001	
	B B	6,370,986	B1	Scott	April 16, 2002	
	B C	6,405,616	B1	Chen	June 18, 2002	
	B D	D459,968 S	B1	Harkins et al.	July 9, 2002	
	B E	6,460,430	B2	Coonrad	October 8, 2002	
	B F	2001/0029813	A1	Schroeder	October 18, 2001	
	B G	29/159,356		Harkins et al.		April 18, 2002
	B H	29/159,383		Harkins et al.		April 19, 2002

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No**

OTHER - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No**
	C B	KNOWLTON et al., "Ulnar Deviation and Short-Term Strength Reductions as Affected by a Curve-Handled Ripping Hammer and a Conventional Claw Hammer," <i>Ergonomics</i> , 26 (2), 173-179 (1983)		
	C C	KONZ, "Bent Hammer Handles," <i>Human Factors</i> , 28 (3), 317-323 (1986)		
	C D	"RIDGID Robohammer®," in <i>Woodworking Tools for a Lifetime</i> , Web page: <a href="http://www.ridgidwoodworking.com/products_robohammer.phtml">http://www.ridgidwoodworking.com/products_robohammer.phtml</a> (1998-2002)		

Examiner Signature		Date Considered	
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\* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

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